

Bhagawandas

Abstract Theta Functions

Lectures by

David Mumford

Advanced Science Seminar

in

Algebraic Geometry

Sponsored by the

National Science Foundation

Bowdoin College

Summer 1967

Notes by Harsh Pittie

1. Introduction: Let  $A$  be an abelian variety defined over  $k$ , an algebraically closed field complete with respect to a real absolute value. Let  $R$  be the ring of integers in  $k$ , and  $\bar{k}$  the residue field; suppose  $\text{char } \bar{k} \neq 2$ . Our aim is to show that  $A$  has a "good reduction" over  $R$ : i.e., that there is a fibre product diagram:

$$\begin{array}{ccccc} A & \hookrightarrow & \mathcal{A} & \dashrightarrow & \bar{A} \\ \downarrow & & \downarrow & & \downarrow \\ \text{Spec } k & \hookrightarrow & \text{Spec } R & \dashrightarrow & \text{Spec } \bar{k} \end{array}$$

where  $\mathcal{A}$  is a group scheme over  $R$ , and  $\bar{A}$  is an extension of  $\mathcal{G}_m^M$  by an abelian variety. The existence of such reductions provides an abstract analogue of the existence of the Satake compactification of the moduli scheme of  $A$ .

If  $\bar{A} = (\mathcal{G}_m)^n$ , we will say that  $A$  has totally-degenerate reduction: in this case one can get a  $p$ -adic analytic uniformization  $\pi: V \twoheadrightarrow A$ , and hence the Tate-Morikawa-McCabe Theory.

We will use an abstract theory of theta-functions to perform the reduction; and we begin by sketching such a theory.

2. Abstract Theta-functions: Classically, the theta-functions associated to an abelian variety  $A$  arise in the following way. Let  $A$  be defined over  $k$ , and suppose there is a surjective homomorphism  $\pi: V \twoheadrightarrow A$ ; then  $A \cong V/\text{Ker } \pi$ . For example,

if  $k = \mathbb{C}$ ,  $V = \mathbb{C}^g$  ( $g = \dim A$ ) and  $\text{Ker } \pi$  is a lattice;  
or in the Tate-Morikawa-McCabe Theory,  $k$  is a local field,  
 $V = (k^*)^g$  and  $\text{Ker } \pi$  is a "multiplicative (annular) lattice".  
Then the theta-functions on  $V$  associated to  $A$  are holomorphic functions on  $V$  which satisfy a certain functional equation with respect to  $\text{Ker } \pi$ .

Quite generally, suppose we have a homomorphism  $\pi: V \longrightarrow A$ ; and an ample, invertible sheaf  $L$  on  $A$  so that if  $\mathcal{L}$  is the induced line bundle, then  $\pi^* \mathcal{L} \cong \mathcal{O}_V$  (the trivial line-bundle on  $V$  - i.e., induced from  $\mathcal{O}_V$ ). Then sections  $S \in \Gamma(A, \mathcal{L})$  pull back to sections  $\pi^*(S) \in \Gamma(V, \mathcal{O}_V)$ , and these are naturally interpreted as  $k$ -valued functions on  $V$  which can be called theta-functions. These functions satisfy a kind of periodicity with respect to  $\text{Ker } \pi$ , as the following argument shows. Let  $\gamma \in \text{Ker } \pi$ , and interpret it as a translation map on  $V$ ; then  $\pi \circ \gamma = \pi$  so  $\gamma^* \pi^* = \pi^*$ . Therefore we have a commutative diagram

$$\begin{array}{ccc} \gamma^* \pi^* \mathcal{L} & = & \pi^* \mathcal{L} \\ \parallel & & \parallel \\ \gamma^* \mathcal{O}_V & \xrightarrow{\text{mult. by } c_\gamma} & \mathcal{O}_V \end{array}$$

where  $c_\gamma$  is a suitable nowhere-zero function on  $V$ . Thus, if  $f$  is the  $k$ -valued function  $\pi^*(s)$ , then we have

$$f(\gamma z) = c_\gamma(z) f(z), \quad z \in V.$$

We apply this formulation as follows. Let  $p$  be a prime,  $A_p^\infty = \text{pts of order } p^n \text{ in } A \text{ (some } n \text{) and}$   
 $V_p(A) = \varprojlim_p A_p^\infty$ , the Tate-module of  $A$  at  $p$ . Then there is

an exact sequence

$$0 \longrightarrow \bigwedge_p \longrightarrow V_p(A) \xrightarrow{\pi} A_p^\infty \longrightarrow 0$$

where  $\pi$  is given by  $\pi(a_0, a_1, \dots) = a_0$ . Recall that there are isomorphisms  $V_p(A) \cong (\mathbb{Q}_p)^{2g}$  and  $\bigwedge_p \cong (\mathbb{Z}_p)^{2g}$  ( $g = \dim A$ ). Thus we can discuss "local" theta-functions corresponding to the uniformization  $\pi: V_p(A) \longrightarrow A_p^\infty$ . There is an analogous theory of global theta-functions in which  $V_p(A)$  is replaced by the adèle group  $\prod_p V_p(A)$ . However, there seem to be difficulties in the local case for  $p \neq 2$ ; hence we shall restrict our attention, from now on, to the case  $p = 2$ .

### 3. Construction of Theta-functions.

Let  $L$  be an ample invertible sheaf on  $A$ ,  $\mathcal{L}: \mathbb{A} \longrightarrow A$  the corresponding line bundle. For  $y \in A$ , let  $\mathcal{L}_y = \mathcal{L}^{-1}(y)$ , the fibre over  $y$ . Assume that

- i) There is an isomorphism  $\rho: i^* \mathcal{L} \longrightarrow \mathcal{L}$  where  $i: A \longrightarrow A$  is the map  $i(x) = -x$ ; i.e., that  $\mathcal{L}$  is symmetric.

- ii) We are given a specific isomorphism  $\phi_0: \mathcal{L}_0 \xrightarrow{\sim} k$ .

Now we can trivialize  $\pi^* \mathcal{L}$  if we can find isomorphisms

$$\mathcal{L}_x \xrightarrow{\sim} k \text{ for all } x \in A_p^\infty. \text{ We proceed to do so as follows.}$$

Let  $t_x: A \longrightarrow A$  be the translation  $t_x(y) = x+y$ , and suppose that for some particular  $x$  we are given an isomorphism  $\tau_x: t_x^* \mathcal{L} \xrightarrow{\sim} \mathcal{L}$ . Consider the diagram:

$$\begin{array}{ccc}
 i^* t_x^* \mathcal{L} & \xrightarrow{i^* \tau_x} & i^* \mathcal{L} \\
 \parallel & & \downarrow \rho \\
 (t_x \circ i)^* \mathcal{L} & & \mathcal{L} \\
 \parallel & & \downarrow t_{-x}^* \tau_x \\
 (i \circ t_{-x})^* \mathcal{L} & & t_{-x}^* \mathcal{L} \\
 \parallel & & \uparrow t_{-x}^* \rho \\
 t_{-x}^* i^* \mathcal{L} & \xrightarrow{t_{-x}^* \rho} & t_{-x}^* \mathcal{L}
 \end{array}$$

As it stands, there is no reason to expect this diagram to commute. However, if we modify  $\tau_x$  by a suitable automorphism of  $\mathcal{L}$  (which is just an element of  $k^*$  - since  $\mathcal{L}$  is a line bundle over a projective variety) we can force the diagram to commute. Now suppose that  $\alpha, \beta \in k^*$  are automorphisms such that  $\alpha \cdot \tau_x$  and  $\beta \cdot \tau_x$  make the diagram commutative, then an easy chase shows that  $\alpha^2 = \beta^2$ , or  $\alpha = \pm \beta$ . Thus  $\alpha \cdot \tau_x$  and  $-\alpha \cdot \tau_x$  are the only isomorphisms of  $t_x^* \mathcal{L}$  with  $\mathcal{L}$  which make the diagram commutative, and if we stipulate that this should be so, then an isomorphism  $\tau_x^! : t_x^* \mathcal{L} \xrightarrow{\sim} \mathcal{L}$  is determined canonically up to  $\pm 1$ .

We can define a completely canonical isomorphism  $\sigma_{2x}$  from  $t_{2x}^* \mathcal{L}$  to  $\mathcal{L}$  as follows,

$$\sigma_{2x} : t_{2x}^* \mathcal{L} = t_x^* (t_x^* \mathcal{L}) \xrightarrow{t_x^* \tau_x^!} t_x^* \mathcal{L} \xrightarrow{\tau_x^!} \mathcal{L}$$

since  $-\tau_x^!$  and  $\tau_x^!$  give the same  $\sigma_{2x}$ . Thus we can get canonical isomorphisms

$$(\sigma_{2x})_0 : (t_{2x}^* \mathcal{L})_0 \longrightarrow \mathcal{L}_0 \text{ and from this}$$

$$\varphi_0 \circ (\sigma_{2X})_0 : (t_{2X}^* \mathbb{L})_0 \longrightarrow k. \text{ But } (t_{2X}^* \mathbb{L})_0 = \mathbb{L}_{2X}.$$

Therefore we have a canonical isomorphism

$$\varphi_0 \circ (\sigma_{2X})_0 : \mathbb{L}_{2X} \longrightarrow k.$$

Therefore we can trivialize  $\pi^* \mathbb{L}$  along those fibers  $\mathbb{L}_x$  such that  $t_y^* \mathbb{L} \xrightarrow{\sim} \mathbb{L}$  for some  $y$  solving  $2y = x$ . But this isomorphism exists for only a few points in  $A$ . We use the following lemma to enable us to obtain isomorphisms

$$\mathbb{L}_x \xrightarrow{\sim} k \text{ for all } x \in A_{2^\infty}. \text{ Put } H(L) = \{x \in A : t_x^* \mathbb{L} \xrightarrow{\sim} \mathbb{L}\}$$

Lemma:  $(n^2 > n)$ . Let  $n\delta : A \longrightarrow A$  be the isogeny

$n\delta(x) = nx$ . For all  $x \in A$  of finite order,  $(n\delta)^* t_x^* \mathbb{L}$  is isomorphic to  $(n\delta)^* \mathbb{L}$  for some  $n$  ( $n$  = order of  $x$  will do).

(The proof is easy: let  $x = ny$ , so  $y$  has order  $n^2$ . Then  $(n\delta)^* \mathbb{L} \xrightarrow{\sim} \mathbb{L}^{n^2}$ . But for any  $M$  and  $k$ ,

$$H(M^k) \supseteq A_k. \text{ So } (n\delta)^* t_x^* \mathbb{L} \xrightarrow{\sim} t_y^* (n\delta)^* \mathbb{L} \xrightarrow{\sim} t_y^* \mathbb{L}^{n^2} \xrightarrow{\sim} (n\delta)^* \mathbb{L}$$

Now let  $x_0 \in A_{2^\infty}$  be some fixed but arbitrary element.

Then  $x_0$  sits in at least one sequence  $(x_0, x_1, \dots) \in V_2(A)$ .

We will not in general have an isomorphism  $t_{x_1}^* \mathbb{L} \xrightarrow{\sim} \mathbb{L}$ ; however, for large enough  $m$ ,  $(2^m \delta)^* (t_{x_1}^* \mathbb{L}) \xrightarrow{\sim} (2^m \delta)^* \mathbb{L}$  by the lemma. Since  $(2^m \delta)^* t_{x_1}^* \mathbb{L} \longrightarrow t_{x_{m+1}}^* (2^m \delta)^* \mathbb{L}$  we get a canonical (up to  $\pm 1$ ) isomorphism

$\tau_{x_{m+1}}^! : t_{x_{m+1}}^* (2^m \delta)^* \mathbb{L} \longrightarrow (2^m \delta)^* \mathbb{L}$  and thus a completely canonical  $\sigma_{x_m}$ , and therefore isomorphisms

$$\varphi_0 \circ (\sigma_{x_m})_0 : ((2^m \delta)^* \mathbb{L})_{x_m} \longrightarrow k. \text{ But } ((2^m \delta)^* \mathbb{L})_{x_m} = \mathbb{L}_{x_0}.$$

Thus we get the desired isomorphism of the fiber  $\mathcal{L}_{x_0}$  with  $k$ .

Glossing over the development, the final theory comes out something like this. We begin with a symmetric, ample, invertible sheaf  $L$  on  $A$  of degree 1, as above.  $L$  determines

- i) a bimultiplicative, skew-symmetric form  

$$e: V_2(A) \times V_2(A) \longrightarrow \{2^n \text{ th. roots of } 1 \text{ in } k\}$$
- ii) a "quadratic character"  $e_*: (1/2) \wedge \longrightarrow \{ \pm 1 \}$   
satisfying  $e(\alpha, \beta)^2 = e_*(\bar{\alpha} + \bar{\beta}) e_*(\bar{\alpha}) e_*(\bar{\beta})$  for  $\alpha, \beta \in \frac{1}{2} \wedge$ .

We can assume that the Arf invariant of  $e_*$  is zero by replacing  $L$  by some  $t_x^* L$ ,  $x \in A_2$ , if necessary. (The quadratic form  $e$  is classical: see for example Lang, Abelian Varieties).

In terms of this data we obtain theta-functions  
 $\theta_{[s]}: V_2(A) \longrightarrow k$  for all  $s \in \Gamma(A, \mathcal{L}^n)$ , satisfying  

$$(*) \theta_{[s]}(\alpha + \beta) = [e_*(\beta/2) \cdot e(\beta/2, \alpha)]^n \theta_{[s]}(\alpha) \text{ for all } \alpha \in V_2(A)$$

$$\beta \in \wedge.$$

This gives a homomorphism of  $k$ -algebras

$$\theta: \bigoplus_{n=1}^{\infty} \Gamma(A, \mathcal{L}^n) \longrightarrow \{k\text{-valued functions on } V_2(A) \text{ satisfying } (*)\}$$

where multiplication of sections  $s_1, s_2$  is given by

$s_1 \otimes s_2$ . Further,  $\theta$  is injective; in fact, if  $a = (a_0, a_1, \dots) \in V_2(A)$ ,  $\theta_{[t]}(a) = 0$  if and only if  $\iff t(a_0) = 0$ . If  $s_0$  denotes the canonical section of  $\mathcal{L}$  then we put  $\theta_{[s_0]} = \theta$  the Riemann theta-function. It

satisfies

$$(**) \quad \Theta(-\alpha) = \Theta(\alpha)$$

$$(***) \quad \prod_{i=1}^4 \Theta(\alpha_i) = 2^{-g} \sum_{\eta \in \frac{1}{2} \wedge} e(\gamma, \eta) \prod_{i=1}^4 \Theta(\alpha_i + \gamma + \eta)$$

$$\text{where } \gamma = -\frac{1}{2} \sum \alpha_i$$

$$(***) \text{ for every } \alpha \in V_s(A) \exists \beta \in \frac{1}{2} \wedge \text{ so that } \Theta(\alpha + \beta) \neq 0.$$

What is remarkable about these theta-functions is that beginning with just  $\Theta$  we can recover the pair  $(A, \mathcal{L})$ . Suppose we start with a vector space  $V$  isomorphic to  $(\mathbb{Q}_2)^{2g}$ ,  $\wedge$  a maximal isotropic lattice in  $V$ , the form  $e$  and the quadratic character  $e_*$ . Then we can define a theta-function  $\Theta$  on  $V$  as a  $k$ -valued function satisfying  $(**)$ ,  $(***)$  and  $(****)$ . We then put

$$M = k\text{-vector space spanned by } e(\alpha, \beta) \Theta(2\alpha - \beta)$$

$$\text{where } \alpha \in V, \beta \in \frac{1}{2} \wedge. \text{ (This will equal the space of } \Theta[s]'s, s \in \Gamma(A, \mathcal{L}^4). \text{)}$$

$$S_0(M) = k$$

$$S_1(M) = M$$

$$S_n(M) = \text{space spanned by } n\text{-fold products of elements from } M.$$

Then  $A = \text{Proj}(\oplus S_n(M))$  is the abelian variety sought for, and  $\mathcal{L}$  is easily recovered from  $A$  and  $\oplus S_n(M)$ .

Finally, let us note an important correspondence between theta-functions on  $V$  and finitely-additive measures on a certain subspace of  $V$ . These measures arise from the Fourier transforms of the theta-functions, and are examples



of Schwartz-Bruhat distributions. Explicitly, we can describe them as follows:

decompose  $V$  as  $V_1 \oplus V_2$  where  $V_1, V_2$  are isotropic with respect to the pairing  $e$ ,  $\Lambda = (\Lambda \cap V_1) + (\Lambda \cap V_2)$  and  $e_*(\alpha) = 1$  for  $\alpha \in \frac{1}{2} \Lambda \cap V_1$ . A finitely-additive measure  $\mu$  on the Boolean ring of compact open subsets of  $V_1$  is called Gaussian if and only if

$$i) \mu(U) = \mu(-U)$$

$$ii) \text{ Given the map } \xi: V_1 \times V_1 \longrightarrow V_1 \times V_1$$

$$\xi(x, y) = (x+y, x-y), \text{ then}$$

$$(\mu \times \mu)(\xi U) = (\nu \times \nu)(U) \text{ where } \nu \text{ is some other measure on the same ring.}$$

The correspondence between theta-functions on  $V$  and Gaussian measures  $\mu$  on  $V_1$  is given thus:

$$\mu(\alpha_1 + 2^n \Lambda_1) = 2^{-ng} \sum_{\alpha_2 \in 2^{-n} \Lambda_2 / \Lambda_2} e(\alpha_1, \alpha_2/2) \theta(\alpha_1 + \alpha_2)$$

and

$$\theta(\alpha_1 + \alpha_2) = e(\alpha_1, \alpha_2/2) \int_{\alpha_1 + \Lambda_1} e(\alpha_2, \beta) d\mu(\beta)$$

where  $\Lambda_i = \Lambda \cap V_i$  ( $i = 1, 2$ ) and  $\alpha_i \in \Lambda_i$ .

#### 4. The Reduction of A over R

We now analyze the relation of  $\Theta$  to the integers  $R$  in  $k$  ( $R, k$  as in section 1). Let  $| \cdot |$  denote the real absolute value of  $k$ ;  $V_2(A)$ ,  $\Lambda$  and  $\Theta$  as before.

Proposition.  $\max_{\alpha \in V} |\Theta(\alpha)|$  is finite and is taken on for  
some  $\alpha \in \frac{1}{2} \Lambda$ .

Proof. The Riemann theta-relation

$$\prod_{i=1}^4 \Theta(\alpha_i) = 2^{-g} \sum_{\eta \in \frac{1}{2} \Lambda} \Theta(\gamma, \eta) \prod_{i=1}^4 \Theta(\alpha_i + \gamma + \eta)$$

gives 
$$\prod_{i=1}^4 |\Theta(\alpha_i)| \leq \max_{\eta \in \frac{1}{2} \Lambda} \prod_{i=1}^4 |\Theta(\alpha_i + \gamma + \eta)|$$

since  $|\Theta(\alpha)|$  is constant on cosets of  $\Lambda$ .

If we put  $\alpha_1 = \alpha_2 = \alpha_3 = \alpha = -\alpha_4$ , then

$$(\dagger) \quad |\Theta(\alpha)|^4 \leq \max_{\eta \in \frac{1}{2} \Lambda} |\Theta(\eta)|^3 |\Theta(2\alpha - \eta)|$$

since  $(\dagger)$  is valid for all  $\alpha \in V$ , applying it successively to  $2\alpha - \eta$ ,  $4\alpha - 3\eta$ , ...,  $2^n \alpha - (2^n - 1)\eta$ , ... and substituting back in  $(\dagger)$  we get

$$|\Theta(\alpha)|^4 \leq \max_{\eta \in \frac{1}{2} \Lambda} |\Theta(\eta)|^{r_n} \cdot |\Theta(2^n \alpha - (2^n - 1)\eta)|^{s_n}$$

$$\text{where } r_n = \sum_{i=0}^{n-1} 3/4^i, \quad s_n = \frac{1}{2^n}$$

Now in the 2-adic topology  $2^n \alpha - (2^n - 1)\eta$  converges to  $\eta$ .

We know  $r_n$  converges to 4. Therefore we get

$$|\Theta(\alpha)|^4 \leq \max_{\eta \in \frac{1}{2} \Lambda} |\Theta(\eta)|^4$$

whence

$$\max_{\alpha \in V} |\Theta(\alpha)| \leq \max_{\eta \in \frac{1}{2} \Lambda} |\Theta(\eta)|^4 \leq \max_{\alpha \in V} |\Theta(\alpha)|^4$$

which yields the result.

Using this proposition we can normalize  $\Theta$  so that its values lie in  $R$ , but not all lie in the maximal ideal  $M$ ; that is, if  $\bar{\Theta}$  denotes the induced function to  $\bar{k}$ ,  $\bar{\Theta}(\alpha) \neq 0$  for some  $\alpha \in V$ .

We now invoke the main result used for the Satake compactification. (see [1])

Theorem: For every theta-function  $\Theta$  on  $V$ , (i.e., a function satisfying  $(*)$ ,  $(**)$ ,  $(***)$  but not necessarily  $(****)$ ) there is a subspace  $W \subseteq V$  with  $W^\perp \subseteq W$  ( $\perp$  with respect to  $e$ ) and a non-degenerate theta-function  $\Phi$  on  $W/W^\perp$  such that

$$\text{supp } \Theta \subseteq W + \Lambda + \eta_0, \quad \eta_0 \in \frac{1}{2} \Lambda$$

and

$$\Theta(\eta_0 + \eta_1 + \alpha) = e_{**}(\eta_1/2) e(\eta_1/2, \alpha) e(\frac{\eta_0 + \eta_1}{2}, \alpha) \Phi(\bar{\alpha})$$

$(\eta_1 \in \Lambda, \alpha \in W)$

The theta-function  $\Phi$  is used to construct an abelian variety  $B/\bar{k}$  of dimension  $h$  (where  $\dim W/W^\perp = 2h$ ) in the same way that  $A$  was constructed from  $\Theta$  (see section 3). Then the special fibre  $\bar{A}$  of the sought-for group scheme  $\mathcal{A}$  should be in an extension

$$0 \longrightarrow \mathcal{G}_m^h \longrightarrow \bar{A} \longrightarrow B \longrightarrow 0.$$

Notice that if the reduction is to be totally-degenerate, then we must have  $W = W^\perp$ , and hence  $B = \{0\}$ .

To construct  $\mathcal{A}$  however, we must first study how many  $R$ -valued theta-functions come from a given  $\bar{k}$ -valued non-degenerate theta-function  $\Phi$  on a vector space  $W/W^\perp$  of smaller dimension. For this question the measure-theoretic point

of view (outlined at the end of section 3) is much better. For ease of exposition we confine ourselves to the case of totally-degenerate reduction, i.e.,  $W = W^{\frac{1}{2}}$ . In this case the  $R$ -valued measure  $\mu$  corresponding to  $\Theta$  reduces to a  $\bar{k}$ -valued measure  $\bar{\mu}$ , where  $\bar{\mu}$  is just the point mass at 0,  $\delta_0$  (the so-called "Dirac delta-function"). The main result concerning these measures is this.

Theorem: Let  $\mu$  be a non-degenerate  $R$ -valued Gaussian measure on  $\mathbb{Q}_2^g$  such that  $\bar{\mu} = \delta_0$ . Then there is a unique subgroup  $M'$  in  $\mathbb{Q}_2^g$  isomorphic to  $Z[\frac{1}{2}]^g$  (and equal to it after a suitable change of co-ordinates) and a unique quadratic character  $c': M' \longrightarrow R - \{0\}$  such that

$$\mu = \sum_{x \in M'} c'(x) \delta_x$$

Moreover, if we tensor  $M'$  with  $\mathbb{R}$ , then there is a positive-definite quadratic form  $Q: M' \otimes \mathbb{R} \longrightarrow \mathbb{R}$  so that  $|c'(x)| = e^{-Q(x)}$

Ideally, at this point we should write down  $\mathcal{A}$  explicitly in terms of  $\Theta$ . However, this presents certain complications, and it is faster to construct  $\mathcal{A}$  by means of the theory of the Néron - model and to check that its special fibre  $\bar{A}$  is  $\mathbb{G}_m^h$  by means of Galois Theory (following a suggestion of Grothendieck).

Choose a subfield  $k_0 \subseteq k$  with a discrete absolute value so that  $A$  is defined over  $k_0$ , and let  $\mathcal{A}_0$  be the Néron model of  $A$  over  $R_0 = \text{integers in } k_0$ . Let  $\mathcal{A} = \mathcal{A}_0$  (minus the components of its special fibre not containing zero) then  $G = \text{Gal}(k/k_0)$  acts on  $V_2(A)$  preserving  $\Lambda$ ,  $e$ ,  $W$ ,  $M'$ ,

and  $Q$ . On  $V/W$  the action of  $G$  is determined by its action on  $M' \cap (\bigwedge^2 V/W) \cong \mathbb{Z}^g$ . Hence we have a representation

$$G \longrightarrow O(Q)_{\mathbb{Z}}$$

into an integral orthogonal group (corresponding to the quadratic form  $(Q)$  on  $\mathbb{Q}_2^g$ . But this group is finite! Hence replacing  $k_0$  by a finite extension  $k$ , if necessary we see that  $G_1 = \text{Gal}(k/k_1)$  acts trivially on  $V/W$ . Since  $G_1$  preserves the action of  $e$ , it acts trivially on  $W$  too; thus the representation takes the form

$$\sigma \longrightarrow \left( \begin{array}{c|c} I & * \\ \hline 0 & I \end{array} \right)$$

Thus  $A_{\infty}$  contains a subgroup  $H$  which is  $k_1$ -rational and is a maximal isotropic subgroup of points of order  $2^n$ , isomorphic to  $(\mathbb{Q}_2/\mathbb{Z}_2)^g$ . Now by one of the key properties of Néron models, all  $k_1$ -rational points of  $A$  extend to  $R_1$ -rational points of  $\mathcal{A}_1$  ( $\mathcal{A}_1 =$  Néron model of  $A$  over  $R_1$ ). Since  $H$  is divisible, all points of  $H$  give  $R_1$ -rational points of  $\mathcal{A}_1$  hence  $H$  induces a subgroup  $\bar{H} \subseteq \bar{A}$ , isomorphic to  $(\mathbb{Q}_2/\mathbb{Z}_2)^g$ .

Now from quite general structure theorems on group schemes, we have an exact sequence

$$0 \longrightarrow L \longrightarrow \bar{A} \xrightarrow{\pi} B \longrightarrow 0$$

when  $L$  is a linear group of dimension  $r$ , and  $B$  is an abelian variety. It can be shown that  $\pi(\bar{H})$  is still isotropic in  $B$

and since  $B$  has dimension  $g-r$ ,  $\pi(\bar{H}) \cong (\mathbb{Q}_2/\mathbb{Z}_2)^k$ ,  $k \leq g-r$ .

Therefore  $\bar{H} \cap L$  has a subgroup  $(\mathbb{Q}_2/\mathbb{Z}_2)^r$ , whence  $L = \mathbb{G}_m^r$ .

Using the total-degeneracy of the theta function we can then show that  $\bar{A} = \mathbb{G}_m^g$  - i.e., that  $B = \{0\}$ .

## 5. Analytic Theta-Functions.

In this section we will show how our theta-functions with totally-degenerate reduction are essentially equal to suitable holomorphic theta-functions of Tate-Morikawa-McCabe, and hence that the abelian varieties uniformized by the Tate theory are exactly those with totally-degenerate reduction.

In the algebraic theory we have outlined, the exact sequence

$$0 \longrightarrow \Lambda \longrightarrow V_2(A) \longrightarrow A_{2^\infty} \longrightarrow 0$$

is the analogue of the sequence

$$0 \longrightarrow M \xrightarrow{q} V(M) \longrightarrow A(k) \xrightarrow{?} 0$$

(where  $V(M)$  is the  $g$ -dimensional torus with character group  $M$ ) of the holomorphic theory. See Tate's Bowdoin Colloquium talks for details. Now using the theorem of the previous section we can express every theta-function with totally degenerate reduction,  $\Theta_a$  (the subscript  $a$  emphasizes that it is the algebraic theta-function) as

$$\Theta_a(\alpha+\beta) = e(\beta/2, \alpha) \sum_{x \in M''} e(\beta, x) c^1(\alpha+x)$$

where  $V = V_1 \oplus V_2$  is a suitable decomposition,

$\alpha \in V_1$ ,  $\beta \in V_2$ ,  $M'' = M' \cap \Lambda$ ,  $M' \subset V_1$ ,  $V_2$  is the  $W$  of the previous section,  $c^1$  and  $M'$  as in Theorem 2.

In the holomorphic theory there is a quadratic character  $c: M \longrightarrow R$  which determines  $q$  via the identity

$$(q^x)^y = \frac{c(x+y)}{c(x)c(y)}$$

The unique holomorphic theta-function  $\Theta_h$  is equal to

$$\Theta_h(u) = \sum_{x \in M} c(x) u^x.$$

It is now easy to relate  $\Theta_h$  and  $\Theta_a$ . Explicitly, we construct a map

$$\begin{array}{ccc} f: M' \oplus (V_2/V_2 \cap \wedge) & \longrightarrow & \{x \in V(M): x^{2^m} \in q^M\} \\ \cap \downarrow & & \cap \downarrow \\ V_2(\Delta)/V_2 \cap \wedge & & V(M) \end{array}$$

so that if  $\alpha \in V_2$ ,  $x \in M$

$$f(\alpha)^x = e(\alpha, x)$$

and if  $\alpha \in M'$ ,  $x \in M$  then

$$f(\alpha)^x = \frac{c(\alpha+x)}{c(\alpha)c(x)}$$

Note that  $f(V_2/V_2 \cap \wedge) \xrightarrow{\sim} \{\text{points of order } 2^n \text{ in } V(M)\}$  and  $f(M')$  is a "2-divisible hull" of  $q^M$  in  $V(M)$ .

Define:  $\gamma: M' \oplus (V_2/V_2 \cap \wedge) \longrightarrow R$  by

$$\gamma(\alpha+\beta) = c(\alpha)^{-1} e(\alpha, \beta/2)$$

Then a simple verification yields

$$\Theta_h(f(x)) = \gamma(x) \cdot \Theta_a(x)$$

Since we have essentially the same theta functions in the algebraic and holomorphic cases, it is easy to deduce that the two theories provide uniformizations of the same abelian variety.