Constraint preserving boundary conditions for the Z 4 formulation of general relativity
D. Hilditch ${ }^{1}$ and M. Ruiz ${ }^{2}$ Theoretisch-Physikalisches Institut Universitat de les Illes Balears²


We discuss high order absorbing constraint preserving boundary conditions for the conformal decomposition of the Z4 formulation of general relativity coupled to the moving puncture family of gauges. Using a Kreiss' theory we prove well-posedness of the initial boundary value problem with a particular choice of the puncture gauge in the frozen coefficient approximation. Numerical evidence for the efficacy of the first and second order boundary conditions in constraint preservation and absorption is compared with the standard sommerfeld conditions in the evolution of flat, spherical black-hole and neutron star spacetimes.

## 1. Introduction

Numerical simulations of general relativity typically introduce an artificial time-like outer boundary $\partial \Sigma$


This boundary requires conditions which ought to render the initial boundary value problem (IBVP) well-posed. Well-posedness is the requirement that the solution of the IBVP should be unique and depend continuously upon given initial and boundary data.

What is the challenge?
The boundary should be specified so that

- is compatible with the constraints,
- controls the incoming modes,
- yields a well-posed IBVP.

What is the problem?

- The system is constrained.
- Gauge freedom
- No local expressions for in/outgoing modes,
- The geometry is not known


## Formulations

The two most popular choices of GR in use in numerical relativity today are he generalized harmonic gauge (GHG) and the BSSN formulations $[1,2,3,4]$.

- GHG: since the system has a very simple wave-equation structure in the principal part, significant progress has been made in the construction of both continuum and discrete boundary conditions $[5,6,7,8,9]$.
- BSSN: Sommerfeld boundary conditions are the most common in use in applications, despite the fact that it is not known whether or not they result in a well-posed IBVP. Recently, Núñez and Sarbach have proposed in [11] CPBCs for this system.
- Z4: this formulation is formally equivalent to GHG when it is coupled to the generalized harmonic gauge. Additionally, it is possible to recover the BSSN from Z4 by freezing one of the constraint variables. In this sense Z4 may be thought of as a generalization of both BSSN and GHG. Boundary conditions for a first order reduction of Z 4 have been specified and tested numerically in [10].


## 2. The Z 4 formulation

The Z4 formulation $[12,13,14]$ takes the 4 -dimensional Einstein equations and replaces them by

$$
R_{a b}+\nabla_{a} Z_{b}+\nabla_{b} Z_{a}=8 \pi\left(T_{a b}-\frac{1}{2} g_{a b} T\right)
$$

where $Z_{a}$ is a 4 -vector of constraints. Solutions of Eq. (1) are also valid solutions of the Einstein equations when the constraints $Z_{a}$ vanish. From the PDEs point of view, the most important part of the constraint addition is that of the partial derivatives.
We $3+1$ decompose the system. This has the undesirable effect of breaking the 4 -covariance of the Z 4 formulation. However, the evolution equations can be written very similarly to BSSN. The time-evolution equations are

$$
\begin{align*}
\partial_{t} \gamma_{i j} & =\mathcal{L}_{\beta} \gamma_{i j}-2 \alpha K_{i j},  \tag{2}\\
\partial_{t} K_{i j} & \left.=-D_{i} D_{j} \alpha+\alpha\left[R_{i j}-2 K_{i k} K_{j}^{k}+K_{i j} K+2 \partial_{(i} Z_{j}\right]\right] \\
& +\mathcal{L}_{\beta} K_{i j}+4 \pi \alpha\left[\gamma_{i j}\left(S-\rho_{A \text { ADM }}\right)-2 S_{i j}\right], \\
\partial_{t} \Theta & =\alpha\left[\frac{1}{2} H+\partial_{k} Z^{k}\right]+\beta^{i} \Theta, i, \\
\partial_{t} Z_{i} & =\alpha M_{i}+\alpha \Theta, i+\beta^{j} Z_{i, j} .
\end{align*}
$$

where $Z_{i}$ is just the spatial projection of $Z_{a}$ and $\Theta=-n_{a} Z^{a}$.

- The conformal decomposition of this formulation was recently presented [15].


## Puncture gauge conditions

The most popular gauge choice in the numerical evolution of dynamical spacetimes is the puncture gauge. By introducing scalar functions ( $\mu_{L}, \mu_{S}, \epsilon_{\alpha}, \epsilon_{\chi}$ ) the general form of the gauge (without introducing the additional field $B^{i}$ ) is

$$
\begin{aligned}
\partial_{t} \alpha & =\beta^{i} \alpha_{, i}-\mu_{L} \alpha^{2} \hat{K}, \\
\partial_{t} \beta^{i} & =\beta^{j} \beta^{i} j+\mu_{S} \Gamma^{i}-\eta \beta^{i}-\epsilon_{\alpha} \alpha \alpha^{i} \\
& +\epsilon_{\chi} \tilde{\gamma}^{i j} \partial_{j} \chi .
\end{aligned}
$$

(6)
(7)

Note that in this condition we have included a new term proportional to the spatial derivative of $\chi$ [16].

- The standard choices are $\mu_{L}=2 / \alpha, \mu_{S}=3 / 4$ and $\epsilon_{\alpha}=\epsilon_{\chi}=0$.

High order constraint preserving boundary conditions
To specify boundary conditions we define the background outgoing characteristic vectors and a null vector

$$
\begin{align*}
& i^{a}=\frac{1}{\sqrt{2}}\left(\stackrel{n}{n}^{a}+\stackrel{s}{s}^{a}\right), \quad \grave{k}^{a}=\frac{1}{\sqrt{2}}\left(\check{n}^{a}+\sqrt{\mu_{S}} \AA^{a}\right),  \tag{8}\\
& \check{m}^{a}=\frac{1}{\sqrt{2}}\left(\check{n}^{a}+\sqrt{\mu_{L}} \stackrel{\circ}{s}^{a}\right), \quad \hat{m}^{a}=\frac{1}{\sqrt{2}}\left(\stackrel{\omega}{\omega}^{a}+i \stackrel{\circ}{v}^{a}\right) \tag{9}
\end{align*}
$$

Since the constraints $\Theta, Z_{i}$ satisfy wave equations, the constraint preserving boundary conditions in the linear regime around the background are given by

$$
\begin{equation*}
\left(r^{2}{ }^{\circ} a \partial_{a}\right)^{L} \Theta \hat{=} 0, \quad\left(r^{2} \dot{l}^{a} \partial_{a}\right)^{L} Z_{i} \hat{=} 0 \tag{10}
\end{equation*}
$$

where $L \geq 0$ is an integer and $\hat{=}$ denotes equality in the boundary $\mathcal{T}$. Note that this conditions are also absorbing boundary conditions.
We assume that, both the physical and background metrics, are sufficiently close to flat so that the full system has ten incoming characteristic variables at the boundary. This determines the number of boundary conditions we may specify. The boundary conditions (10) give four of the total. We take for the remaining

$$
\begin{aligned}
& \left(r^{2} \dot{m}^{a} \partial_{a}\right)^{L+1} \alpha \hat{=} h_{\alpha}, \quad\left(r^{2} \stackrel{\circ}{k}^{a} \partial_{a}\right)^{L+1} \beta_{s} \hat{=} h_{s}, \\
& \left(r^{2} \dot{l}^{a} \partial_{a}\right)^{L+1} \beta_{A} \hat{=} h_{A}, \quad\left(r^{2} \dot{l}^{a} \partial_{a}\right)^{L+1} \gamma_{A B}^{\mathrm{TT}} \hat{=} h_{A B}^{\mathrm{TF}},
\end{aligned}
$$

(11)
(12)
where $h_{\alpha}, h_{i}, h_{A B}^{\mathrm{TF}}$ are given boundary data. One can extend the above results to consider the standard puncture gauge. We consider the so-called freezing$\Psi_{0}$ boundary condition in term of the electric and magnetic part of $\mathrm{Z} 4[17]$

$$
\begin{equation*}
\Psi_{0}=\left(E_{i j}^{\mathrm{TT}}-i B_{i j}^{\mathrm{TT}}\right) \hat{m}^{i} \hat{m}^{j} \hat{=} q_{\hat{m} \hat{m}} . \tag{13}
\end{equation*}
$$

Numerical Test

The necessity of CPBCs for the Z 4 system is not only motivated by the requirenent of having a mathematical well-posed system, but also by the numerical evidence of artifacts and/or instabilities related to a bad or naive implementation of the boundary conditions. An example of this numerical artifact is show in Fig. 1 where the time evolution of the central rest-mass density of a equilibrium model of spherical compact star obtained with the Z4c formulation.



- We perform several tests, in each case with Sommerfeld, first and second order constraint preserving boundary conditions
- To examine the stability and the effect of the BCs we consider simulations with very a close outer boundary ( $r_{\text {out }} \simeq 20 M$ ) and compare the results with a reference simulation [8], in which the outer boundary is placed far away ( $r_{\text {out }}^{\prime} \simeq 1000 M$ ) from the origin.
- To globally monitor the constraint violation we define the quantity:

$$
\begin{equation*}
C \equiv \sqrt{H^{2}+M^{i} M_{i}+\Theta^{2}+Z^{i} Z_{i}}, \tag{14}
\end{equation*}
$$

and we will refer as the constraint monitor. We will make often use of 2-norms of quantities

$$
\begin{equation*}
\|C(\cdot, t)\|_{2} \equiv \sqrt{\int d r r^{2} C(r, t)^{2}} \tag{15}
\end{equation*}
$$

where in practical computations the integral is performed on the grid by the trapezium rule. For a fair comparison with the "investigated" solution, the norm of the reference solution is taken only on the domain, $r \in\left(0, r_{\text {out }}\right)$. Since most of the presented analytical results have been obtained by using the new shift condition $\left(\epsilon_{\chi}=1 / 2\right)$, we numerically investigated this gauge as well as standard puncture gauge. We found in all the cases comparable results (see e.g. Fig. 4), so most of the results are presented for the more popular puncture gauge in order to give numerical evidence of what we cannot prove.

Perturbed flat spacetime. Evolution of constraint violating initial data on flat space. Here we focus on convergence and constraint absorption. We find near-perfect constraint transmission of the constraints when using the second order CPBCs.


Star spacetime. Evolution of a stable compact star. In the Sommerfeld case, non convergent reflections from the boundary effect the dynamics of the star. The absorbing CPBCs completely solve this problem.


Black hole spacetime. Evolution of black hole initial data. The robustness and performances of CPBCs have been tested against black holes spacetime with different initial data and gauges.


## 3. Well-posedness

A method to demonstrate well-posedness is based on the frozen coefficient principle. In this approach one freezes the coefficients of the equations of motion and the boundary operators. Therefore, the IBVP is simplified to a linear, constant coefficient problem which is solved using a Laplace-Fourier transformation. Sufficient conditions for the well-posedness of the frozen coefficient problem were developed by Kreiss in 18 if the system is strictly hyperbolic. Using that theory, it can be constructed a smooth symmetrizer with which well-posedness can be shown using an energy estimate in the frequency domain. We use those results to prove the well-posedness of the IBVP for Z 4 with high order constraint preserving boundary conditions.

## References

[1] H. Friedrich, Comm. Math. Phys 100 (1985). [2] H. Friedrich, Class. Quantum Grav. 13 (1996) [3] M. Shibata and T. Nakamura, Phys. Rev. D 52 (1995) [4] T. Baumgarte and S. Shapiro,, Phys. Rev. D 59 (1998) [5] B. Szilagyi and J. Winicour, Phys. Rev. D 68 (2003) [6] L. Lindbloma et al. Class. Quant. Grav. 23 (2006). [7] H. O.Kreiss and J. and Winicour, Class. Quant. Grav. 23 (2006). [8] M. Ruiz, O. Rinne and O. Sarbach, Class. Quant. Grav. 24 (2007) [9] O. Rinne et al. Class. Quant. Grav. 26 (2009). $10]$ C. Bona and C. and Bona-Casas, arXiv 1003.3328. [11] D. Nuñez and O. Sarbach, Phys. Rev. D 81 (2010) 12] C. Bona et al. Phys. Rev. D 67 (2003) [13] C. Bona et al. Phys. Rev. D 69 (2004). 14] C. Gundlach et al. Class. Quant. Grav. 22 (2005) [15] S. Bernuzzi and D. Hilditch, Phys. Rev. D 81 (2010) 084003 16 Ruiz. Milton, S. Bernuzzi and D. Hilditch, Phys. Rev. D 83 (2011) 024025 17] D. Hilditch and M. Ruiz, in preparation
[18] H.O. Kreiss, Commun. Pure Appl. Math. 23 (1970).

